

MODELLO TRASPARENZA - ANN. 2																
Full Name Nome e Cognome/Denominazione	HCO; City of Principal Practice HCOs: City where registered Professional Services: City, State where provided Organization Sanitaria/Terza Parte: Sede, Legge	Country of Principal Practice Stato dove si svolge prevalentemente la professione/attività	Principal Practice Address Indirizzo dove si svolge prevalentemente la professione/attività	Donations Donazioni in denaro o in beni	Scholarship Borse di studio	Contribution to costs of Events Contributo per il finanziamento di eventi (ex: convegni, congressi e riunioni scientifiche)			Contribution to costs of Training Contributo per il finanziamento di training teorico-pratici			Fee for service and consultancy Corrispettivi per prestazioni professionali e consulenze		TOTAL Totale		
						Sponsorship agreements with HCOs/Third Parties appointed by HCOs to manage an event Accordi di sponsorizzazione con organizzazioni sanitarie/Terza Parte per la realizzazione di eventi	Registration Fees Quote di iscrizione	Travel & Accommodation Viaggi e ospitalità	Sponsorship agreements with HCOs/Third Parties appointed by HCOs to manage an event Accordi di sponsorizzazione con organizzazioni sanitarie/Terza Parte per la realizzazione di eventi	Registration Fees Quote di iscrizione	Travel & Accommodation Viaggi e ospitalità	Spese di partecipazione ad attività formative, educative e professionali su prodotti secondari organizzate dal Soc	Fees Corrispettivi		Related expenses agreed in the fee for services or consultancy contract, including travel & accommodation relevant to the contract Spese riferibili al contratto di consulenza e prestazioni professionali risultanti da un specifico contratto, comprensive di: tratte spese di viaggio e ospitalità	
DATI SU BASE INDIVIDUALE - una riga per ciascun Professionista Sanitario (ossia sarà indicato l'importo complessivo di tutti i trasferimenti di valore effettuati nell'arco dell'anno a favore di ciascun Professionista Sanitario: il dettaglio sarà reso disponibile solo per il singolo Destinatario o per le Autorità)																
				NA	NA	NA*	NA*	NA*								NA
				NA	NA	NA*	NA*	NA*								NA
				NA	NA	NA*	NA*	NA*								NA
				NA	NA	NA*	NA*	NA*								NA
DATI SU BASE AGGREGATA - per Professionisti Sanitari																
Aggregate amount attributable to transfers of value to such Recipients Importo aggregato attribuibile a trasferimenti di valore a tali Destinatari				NA	NA	NA*	NA*	NA*	0	0	87189	0	167599	30882	NA	285669
Number of Recipients in aggregate disclosure Numero dei Destinatari (i cui dati sono pubblicati in forma aggregata)				NA	NA	NA*	NA*	NA*	0	0	150	0	47	15	NA	145
% of the number of Recipients included in the aggregate disclosure in the total number of Recipients disclosed % del numero di Destinatari inclusi nel dato aggregato sul numero complessivo dei Destinatari				NA	NA	NA*	NA*	NA*	0	0	76%	0	32%	10%	NA	100%
DATI SU BASE INDIVIDUALE - una riga per ciascuna organizzazione sanitaria (ossia sarà indicato l'importo complessivo di tutti i trasferimenti di valore effettuati nell'arco dell'anno a favore dell'organizzazione sanitaria: il dettaglio sarà reso disponibile solo per il singolo Destinatario o per le Autorità)																
AIM ITALY Srl	Milano	Italy	Via G. Bissolati 129	0	NA	22000	0	0	NA	NA	NA	NA	0	0	NA	22000
Carlson Wagonlit Travel - PCD	Roma	Italy	Via S. Costantino 89	0	NA	1837100	0	0	NA	NA	NA	NA	0	0	NA	1837100
Case Care S. Michele Srl - Mediaset	Mantova	Italy	Via Appia 395	0	NA	36100	0	0	NA	NA	NA	NA	0	0	NA	36100
CD LAS SRL	Roma	Italy	Via F. A. Papafava 1	0	NA	0	0	0	NA	NA	NA	NA	223	0	NA	223
CD Med SpA - Clinica Universita' Firenze	Firenze	Italy	San Gallo 1	0	NA	0	0	0	NA	NA	NA	NA	15000	0	NA	15000
Fondazione Italiana Gabriella Montanari	Pisa	Italy	Via Trieste 45	0	NA	0	0	0	NA	NA	NA	NA	0	0	NA	0
HUMANITAS UNIVERSITY - PCD	Pavia Emanuato	Italy	Via Rita Levi Montalcini 4	0	NA	0	0	0	NA	NA	NA	NA	10511,25	3604,65	NA	14115,90
Intelligence Srl - Unigermanale	Roma	Italy	VIA DELL'UNIVERSITA' 11	0	NA	24000	0	0	NA	NA	NA	NA	0	0	NA	24000
IRISCO PROVIDER SRL	Milano	Italy	Corso Venezia 106	0	NA	2500	0	0	NA	NA	NA	NA	0	0	NA	2500
START PROMOTION EVENTS - PCD	Milano	Italy	Via Mauro Macchi 50	0	NA	6000	0	0	NA	NA	NA	NA	0	0	NA	6000
Unimed srl	Bergamo	Italy	Via Roma Montebello 1	0	NA	4000	0	0	NA	NA	NA	NA	0	0	NA	4000
UNIVERSITA' DEGLI STUDI DI VERONA	Verona	Italy	Rovato 1/A, Strada 65	0	NA	0	0	0	NA	NA	NA	NA	3000	639,37	NA	3639,37
Università Politecnica delle Marche	Ancona	Italy	Torricelli No. 20/A	0	NA	0	0	0	NA	NA	NA	NA	4600	0	NA	4600
Viagra Project Collaborative Srl	Milano	Italy	Via Broletto 30	0	NA	7000	0	0	NA	NA	NA	NA	0	0	NA	7000
Worlwide Srl Unipersonale	Torino	Italy	Corso Martiniotti 38	0	NA	15000	0	0	NA	NA	NA	NA	0	0	NA	15000
Cooperativa Casa Solitaria della Sofferenza I.R.C.C.S	San Giovanni Rotondo	Italy	Viale Cappuccini	0	NA	0	0	0	NA	NA	NA	NA	0	307,72	NA	307,72
DATI SU BASE AGGREGATA																
Aggregate amount attributable to transfers of value to such Recipients Importo aggregato attribuibile a trasferimenti di valore a tali Destinatari				12500	0	NA	NA	NA	NA	NA	NA	NA	NA	NA	0	12500
Number of Recipients in aggregate disclosure Numero dei Destinatari (i cui dati sono pubblicati in forma aggregata)				1	0	NA	NA	NA	NA	NA	NA	NA	NA	NA	0	1
% of the number of Recipients included in the aggregate disclosure in the total number of Recipients disclosed % del numero di Destinatari inclusi nel dato aggregato sul numero complessivo dei Destinatari				100%	0	NA	NA	NA	NA	NA	NA	NA	NA	NA	0	100%
Nel caso di supporto diretto per la formazione di HCP (tra esperienze in ambito privato, occorre pubblicare il dato in forma individuale e aggregata, a seconda che l'HCP abbia o meno il commento)																



# **Edwards Lifesciences Transparency Disclosure**

**Methodology Note**

## Purpose of the Methodology Note

This document is intended to serve as supporting documentation to help understand the methodology used in the scoping, extraction, possession and disclosure of the Confindustria transparency disclosure.

The Methodology Note summarizes the logic used to identify, collect and report all transfers of value within the scope of points 4.1 to 4.4 of the Code Of Ethics from Confindustria Dispositivo Medici. This includes but is not limited to:

- Definitions
- Scope of reportable payments
- Identification of reportable payments
- Aggregate disclosure
- Financial aspects - VAT and currency
- Handling of cross-border payments

## Definitions

### Recipients

**Health Care Organization (“HCO”)**: Means any legal entity or body (irrespective of its legal or organizational form) that is a healthcare, medical or scientific association or organization which may have a direct or indirect influence on the prescription, recommendation, purchase, order, supply, utilization, sale or lease of medical technologies or related services such as a hospital or group purchasing organization, clinic, laboratory, pharmacy, research institution, medical society, medical charity, foundation, university or other teaching institution or learned or professional society (except for patient organizations); or through which one or more Healthcare Professionals provide services.

**Health Care Professional (“HCP”)**: Individuals that purchase, lease, recommend, use, arrange for the purchase or lease of, or prescribe medical technology products. This definition encompasses anyone who may have influence over purchasing decisions and includes both clinical and non-clinical individuals who make product-related decisions and decision-makers within group purchasing organizations.

**Third Parties**: legal entities non-profit or for profit such as but not limited to Patient Organizations, Charities and Professional Congress Organizers (PCOs).

## Methodologies used for disclosure purposes

### Scope of reportable payments

In accordance with point 4 of the Code Of Ethics from Confindustria Dispositive Medici, “Transparency of transfers of value between the associates, healthcare professionals, healthcare organisations and other third parties” Edwards will disclose:

HCPs	<ul style="list-style-type: none"><li>• Cost for participation in company organized events (training, educational or promotional) and third party organized procedure training sessions</li><li>• Fees for services (including travel and accommodation expenses)</li></ul>
HCOs	<ul style="list-style-type: none"><li>• Financial support to events as described in paragraphs 2.7.1 and 2.7.2 (this includes indirect support for HCP attendance to third party events)</li><li>• Fees for services</li><li>• Donations in cash or cash equivalents</li><li>• Cost for research and development activities</li><li>• Scholarships</li></ul>
Third Parties	<ul style="list-style-type: none"><li>• Donations in cash or cash equivalents</li></ul>

All transfer of value to HCPs and Third Parties are disclosed in aggregate basis. Transfer of value related to HCOs are reported on individual basis. Transfer of value regarding meals or beverages are not included in t

### Identification of reportable payments

**Identification of reportable data:** Every type of transfer of value processed in Edwards processes a related commodity code. Based on these codes, all payments within the scope of Confindustria’s transparency obligations are identified and included in the report.



### **Aggregate Disclosure**

All transfer of value to HCPs and Third Parties are disclosed in aggregate basis. Each payment category has its own code which will be used as explained above under “Identification of reportable data”. Itemized disclosure will be made available upon request.

### **VAT rules**

All fee related payments and expenses related to travel and accommodation are inclusive of VAT. Transactions related to support to HCO and Third Party organized events do not include VAT. All VAT related charges will be applied based on local VAT laws and rules.

### **Currency**

All transfers of value are converted to EUR using the international exchange rate valid at the day of the report’s publication.